
MARKEN ANTI-CORRUPTION COMPLIANCE POLICY

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Document History

Version Number	Issue Date	Reason for Change
4	31 July 2015	Added "and/or suppliers" to the definition for Agent and updated "QAG" to "QA" throughout document.
3	04 September 2014	Updated Author from James Bailey to Doaa Fathallah and updated Reviewer from Chris O'Connell to Steve Menzies; Updated reference to SOP-QAG-001 to GOP-GQM-001; Updated Section 7.1 to reflect organizational changes; Replace "Senior Counsel" with "Legal Counsel"; Reformatted Exhibit 3 to replace bullets with (i), (ii), etc. due to references within Exhibit; Updated Exhibit 3 to reformat Company address, changed "Department" to "Counsel", updated email address, and removed telephone number; Updated Exhibit 6 to reflect organizational changes.
2	21 August 2012	Format change; Updated with new Marken logo; Removal of Noel O'Driscoll as Chief Compliance Officer and replaced with Doaa Fathallah, Rebecca Jeffries added as Legal Assistant; Removal of Tarquin McDonald as Finance Manager and replace with Marion Abascal.
1	08 August 2011	This is the first issue of this Policy.

Distribution List

Controlled copies of this document will be placed on the Marken Intranet by Quality Assurance. Branch Managers, Line Managers or Quality Coordinators are responsible for ensuring staff are trained to this procedure in accordance with the training SOP.

1. Purpose

The Marken group ("**Marken**") is committed to acting with honesty and integrity in everything that we do. The Marken Anti-Corruption Compliance Policy (the "**ACP**") is intended to ensure that Marken, our affiliates and joint venture partners, as well as our respective officers, directors, employees, agents, consultants, contractors and sub-contractors and anyone else who acts on behalf of Marken fulfill this commitment and comply with any and all applicable national and international anti-bribery and anti-corruption laws and treaties.

2. Definitions

ACP – The Marken Anti-Corruption Compliance Policy.

Agent – Any person working on behalf of Marken or any of its joint venture companies who is not an Employee. Agents include but are not limited to contractors, sub-contractors, consultants, distributors, representatives, resellers, sales agents and/or suppliers.

Anti-Corruption Laws – the FCPA, BA, relevant European Union requirements, the OECD Guidelines for Multinational Enterprises and the International Chamber of Commerce Rules of Conduct to Combat Extortion and Bribery) and all other applicable anti-bribery and anti-corruption treaties and laws, as may be introduced or amended from time to time.

The phrase "**anything of value**" – includes, but is not limited to, money, kick-backs, stored-value cards, discounts, gifts, loans, loan guarantees, payment of debts, transportation, lodging, use of property or equipment, job offers, charitable donations, insurance benefits, medical treatments, meals, drinks and entertainment, and anything else of value.

BA – English law Bribery Act 2010, as may be amended from time to time.

Compliance Committee – Anti-Corruption Compliance Committee, which is responsible for the administration, implementation and enforcement of the ACP.

Compliance Officer – Employee appointed by the Compliance Committee to assist in the administration, implementation and enforcement of the ACP.

CCO – Chief Compliance Officer. The CCO is entrusted with coordinating with all relevant officers and personnel, as well as in-house and outside counsel. This person shall have training in the requirements of all applicable Anti-Corruption Laws and principles and complete support of the Board of Directors to perform his or her compliance functions.

EEP – Employee Education Program.

Employees – every employee, officer, director or contract staff working on behalf of or in the name of, Marken or any of its joint venture companies.

FCPA – United States Foreign Corrupt Practices Act, as may be amended from time to time.

Public Official – means

- any officer or employee of a government or any department, agency or instrumentality thereof (which includes a government-owned or government-controlled state enterprise) or of a "public international organization" (such as the United Nations);
- any person acting in any capacity for or on behalf of a government or government entity or of a public international organization, any political party or party official or any candidate for political office (including, for example, consultants who hold government positions, employees of companies owned or controlled by governments, civil servants, administrative and judicial officers, political candidates and members of the military);
- any person who exercises a public function on behalf of any country or territory or for any public agency or public undertaking; and
- family members and close personal friends of any of the foregoing.

QA – Quality Assurance.

3. Who Must Follow the Anti-Corruption Policy?

Marken and every joint venture company under Marken control, all of their respective Employees and Agents must follow the ACP.

Where participating in joint venture companies not under our control, we encourage such companies to adopt similar policies.

Companies and persons with whom we conduct business will be made aware of the ACP as it applies to dealings with our Employees and Agents and encouraged to adopt similar policies.

4. How Can You Report a Violation of the ACP?

If you believe that a provision of the ACP has been or is being violated, you have a responsibility to raise your concerns immediately with someone who can deal with the situation. You can do this through your manager or regular human resource channels, by alerting the Compliance Officer designated for your business unit or region, or by contacting any member of the Compliance Committee or the Legal Department (see contact details set out in Exhibit 6). This obligation is ongoing and you have an obligation to update the report if you obtain new information.

If you prefer, you can use the Marken Global Helpline to report a suspected violation by telephone or email. Any such report may be made anonymously. Marken will not under any circumstances permit or tolerate any retaliation of any kind directed against anyone who reports an issue concerning the ACP (in accordance with the Marken Whistle-blowing Policy) and any retaliation attempts will be subject to disciplinary action.

Employees and Agents who know or suspect that retaliation has taken place have a duty to report it to their supervisor, the Compliance Committee or the Legal Department. Equally, any person who files a report with the intention of spreading false rumors or threatening or damaging the reputation of Marken or any Employee will be subject to disciplinary action.

Anonymous reports may be made by contacting the Legal Department (see contact details set out in Exhibit 6) and callers should state immediately that they wish to make an anonymous report that a provision of the ACP has been or is being violated.

5. What Can Happen to Individuals Who Violate the ACP?

Upon receiving a report of a suspected or actual violation, the Compliance Committee will immediately document and investigate that report, and take all remedial action(s) deemed appropriate in the circumstances.

Employee or Agent violation of the ACP or any Anti-Corruption Laws can lead to disciplinary action, including, without limitation, termination, suspension, restitution and reprimand. Violations (whether by Employees, Agents or any other person or entity) that involve a criminal act could result in prosecution by appropriate government authorities. Employees will be subject to disciplinary action for failure to cooperate in implementing the ACP.

6. Requirements, Prohibitions and Procedures

It is Marken's corporate policy to act with honesty and integrity in everything that we do, to comply with all applicable laws wherever we operate, and to require that anyone doing business on our behalf also comply fully with those laws and with this policy.

The Compliance Committee and the Legal Department will take prompt measures to resolve and mitigate potential harms caused by any violations, including for example by terminating relationships with Agents, business partners or other persons or entities involved in the matter.

6.1 Compliance with Anti-Corruption Laws

Marken, and every joint venture company under our control and all of our Employees and Agents shall comply strictly with all applicable Anti-Corruption Laws.

6.2 No Giving or Receiving of Bribes

Any direct or indirect offer, promise, payment, agreement, facilitation, solicitation or acceptance of bribes in any form is prohibited. The ACP strictly prohibits any Employee or Agent from making, promising or offering to make, agreeing, facilitating, authorizing or permitting, directly or indirectly, any payment or giving of money or "anything of value" to any Public Official, or to any private person or enterprise, which is for the purpose of inducing or influencing such person to act, or fail to act, in a manner that would assist in obtaining, facilitating, or retaining business, or otherwise securing any improper business advantage. In addition, no Employee or Agent shall request, agree to receive or accept, directly or indirectly, a financial or other advantage resulting in the improper performance of a relevant function or activity on behalf of Marken, any Marken Employee or any Marken Agent. These prohibitions apply whether the payment, gift or offer or promise thereof is made or received directly or through a third party, such as an agent, representative, contractor, joint venture partner or distributor. You should contact the Compliance Officer designated for your business unit or region, or any other member of the Compliance Committee, with any questions about whether a person or organization should be treated as a Public Official.

6.3 Facilitation Payments

Also known as "grease payments", mean typically small payments made in some countries or circumstances for the purpose of facilitating or accelerating certain ministerial or bureaucratic, non-discretionary actions of a Public Official, such as processing government paperwork, providing routine government services and actions of a similar nature (for example, processing packages in customs or mail delivery). Routine government action does not include a decision by a Public Official to award business to, or continue business with, a particular company.

Facilitation Payments are strictly prohibited.

6.4 Receiving Gifts

Employees should refer to the Marken Expenses, Hospitality and Gifts policy for guidance in relation to gifts received from third parties. Agents should not accept gifts from Public Officials or other third parties that relates in any way to work or business that Agents are conducting for or on behalf of Marken.

6.5 Cash Payments

Cash payments of any kind to any third party, other than documented petty cash disbursements, are strictly prohibited. Company checks shall not be written to "cash", "bearer", or anyone other than the party entitled to payment.

6.6 Conflicts of Interest

Employees and Agents must avoid conflicts of interest between their private activities and their participation in the conduct of business on behalf of Marken. Employees must immediately disclose any potential conflicts of interest to their supervisor or to a member of the Compliance Committee. By way of example (and without limitation), Employees should disclose any personal interest they may have in any Agent or if they are the spouse or partner of any Public Official that is connected or becomes connected in any way with any business interests of Marken, either directly or as a result of work or business that Agents are conducting for or on behalf of Marken.

6.7 Accurate Books and Records

This ACP and applicable Anti-Corruption Laws require that Marken, including all of our subsidiaries and joint venture companies, maintain books, records and accounts that completely and accurately reflect all payments given and received, expenses and transactions, including records of promotional and marketing expenses, gifts, and political and charitable donations. Marken is also required to implement and maintain a system of internal monitoring controls to ensure that our transactions and business dealings are carried out transparently, in accordance with management's authorization and in accordance with applicable law. The Marken Finance and Legal Departments are principally responsible for maintaining such controls. You must cooperate with the Finance and Legal Departments by ensuring that the books and records for which you are responsible are fully consistent with and comply with such controls.

- Any deficiencies shall be reported immediately to the Marken Legal or Finance Departments (as applicable).
- Any Employee having information or knowledge of any unrecorded or mischaracterized asset or fund, or any false, misleading, or artificial entry in Marken's books or records must immediately notify the Compliance Committee or the Legal Department (see contact details set out in Exhibit 6).
- False or misleading entries will result in immediate disciplinary action. Undisclosed or unrecorded payments or assets are strictly prohibited.

6.8 Charitable Donations

We are committed to the communities in which we do business and permit reasonable donations to charities. Employees must ensure that donations are given only to *bona fide* charities and are only used for proper charitable purposes and not otherwise misapplied in violation of this ACP or Anti-Corruption Laws.

6.8.1 Prior Approval from Legal Department

Employees are required to obtain prior written approval from the Legal Department before making any charitable donation. The Legal Department will consider the following circumstances in deciding whether the donation can be made:

- The charity refuses to issue a receipt, or suggests that the gift be made anonymously;
- The donation is directed to accounts other than the country where the charity is located;
- An officer, director, or employee of the charity has family or other ties to a Public Official;
- The donation and intended recipient are suggested by a Public Official; or
- There is any suggestion that the donation may influence government action or incline the government or a Public Official to look more favorably on Marken.

6.9 Political Contributions

Corporate contributions to candidates for political office, where permitted by law, require advance written approval from the Legal Department. Employees and Agents engaged in political activities must at all times make clear that their views and actions are their own, and not those of Marken. Accordingly, Employees and Agents may not make any political contributions in cash or kind through or on behalf of Marken.

6.10 Permitted Promotional and Marketing Expenses and Gifts

- **Promotional and Marketing Expenses**

Marken may provide hospitality not to exceed US\$150 per person per event or US\$500 per person in total annually or equivalent in local currency to a Public Official or business contact if, and only if, the expenses are directly related to promoting, demonstrating or explaining Marken products or services and also only if receipt of such expenses is expressly permitted by the written local law to which the public official is subject and expressly approved in writing by the entity which the recipient

represents. Such promotional or marketing expenses must be *bona fide* and reasonable in light of similar routine business costs.

- **Promotional Gifts**

Promotional gifts of nominal value may be given as a courtesy, as a token of regard or esteem, or to promote goodwill. Such promotional gifts must be of nominal value (in every case less than \$25) and should generally bear Marken's logo or trademark. No gifts of any kind may be given as a bribe or for the purpose of influencing any act or decision of a Public Official in his or her official capacity.

- **Approval Process**

Employees must refer to the Marken Expenses, Hospitality and Gifts Policy for further guidance on expenses, the giving of hospitality, entertainment and gifts and procedures to be followed. For all expenses, the standard expenses claim form should be completed by the Employee and authorized by their line manager.

- **Use Common Sense**

The basic rule is to use common sense and exercise caution with respect to all business dealings, and particularly dealings with individuals who may be Public Officials. The goal is to avoid not only violations of law but also to avoid the appearance of impropriety or improper conduct. Payment of promotional and marketing expenses and giving of promotional gifts shall only be permitted if such expenses or gifts serve the limited purpose of promoting a reasonable business aim. Such payments and gifts must not in any way be intended to cause the recipient to misuse his official position to benefit Marken.

6.11 **Prior Written Approval Required When Hiring Agents, Contractors, Consultants and other Business Partners**

It is critically important to carefully assess potential Agents and business partners because Marken can in certain circumstances be deemed responsible for their actions. Exhibit 1 sets out due diligence steps to follow in assessing whether to proceed with, or terminate, a particular Agent or business partner relationship.

In addition, contracts with such persons must include specific language addressing compliance with the ACP and Anti-Corruption Laws. Sample language is set forth in Exhibit 4. All contractual arrangements must be reviewed and approved by the Legal Department in accordance with the Marken Subcontractor Contract Protocol.

6.12 Acquisitions

Whenever Marken is contemplating any mergers, acquisitions, joint ventures or other business combinations, the Legal Department must be notified and shall conduct and/or supervise anti-corruption due diligence. Transaction documents must include specific language addressing compliance with Anti-Corruption Laws. Sample language is set forth in Exhibit 5. All such transaction documents must be approved in writing by the Legal Department prior to being executed.

6.13 Employee Education Program

Employees and selected Agents will receive mandatory training concerning the ACP and refresher training may be provided thereafter. The Compliance Committee shall be responsible for implementing the EEP and determining the level of training required for particular Employees and selected Agents.

6.14 Annual Employee Certification

As a condition of continued employment, Employees shall be required to sign an annual certification (set out in Exhibit 2) confirming that the person signing (i) has received anti-corruption training, (ii) understands, has complied with and intends in the future to comply with applicable Anti-Corruption Laws and the ACP, (iii) knows of no violations of Anti-Corruption Laws or the ACP by any other Employee, and (iv) knows of no other facts or circumstances that could reasonably be expected to involve a violation of Anti-Corruption Laws or the ACP in connection with Marken, its subsidiaries, its joint venture companies or any of its operations. This certification shall be kept in each Employee's employment file, and a copy will be kept by the Legal Department on behalf of the Compliance Committee.

6.15 Annual Business Partner Certification

Every Agent of Marken and its joint venture companies may be required to annually sign an "Anti-Corruption Compliance Certification" (set out in Exhibit 3). Selected Employees may be required to assist in ensuring that this Certification is signed by Agents or joint venture companies and failure by any such Employee to carry out the required actions may result in disciplinary action.

6.16 Policy Update and Notification

Marken's Legal Department and Compliance Committee are hereby authorized to amend and update the ACP as needed to remain in compliance with all applicable Anti-Corruption Laws, government policies and international treaties. Marken reserves the right to revise the conditions of the ACP at any time and by giving notice to Employees accordingly. Employees, Agents and joint venture companies are responsible for understanding or seeking clarification of any rules outlined in this document and for familiarizing themselves with the most current version of the ACP. Any questions regarding the ACP can be raised with the CCO or the Legal Department.

6.17 **Audit**

In accordance with GOP-GQM-001 (Writing, Reviewing, Approving and Versioning of Controlled Documents), Marken will review the ACP every two years and conduct a periodic review of its corporate policies and compliance programs regarding applicable Anti-Corruption Laws, including the FCPA, the BA and other such anti-bribery provisions of each jurisdiction to which Marken, its Employees, Agents or other business partners may be subject. Independent legal and auditing firms shall conduct a review of the ACP and Marken's compliance with Anti-Corruption Laws at least every five years.

7. **Anti-Corruption Compliance Committee; Compliance Personnel; Duties**

7.1 **Anti-Corruption Compliance Committee**

The Anti-Corruption Compliance Committee (the "**Compliance Committee**") shall be responsible for the administration, implementation and enforcement of the ACP. The Compliance Committee will report on an ongoing basis to the Audit Committee of Marken (the "**Audit Committee**"), being comprised of a minimum of two (2) members of the Board from time to time. The Compliance Committee shall consist of the following:

- Chief Compliance Officer – Chair;
- Legal Counsel – Vice Chair;
- Chief Financial Officer;
- Vice President of Global Quality Assurance; and
- Other Employees as may be appointed from time to time

The Compliance Committee shall be responsible for:

- Monitoring the ACP and making appropriate modifications as business realities and applicable law change;
- Making recommendations to the Audit Committee regarding the investigation of suspected violations of the ACP;
- Implementing the EEP;
- Responding to Employee and Agent inquiries regarding any aspect of compliance and creating a system in which Employees and Agents may report suspicious activity, including provisions for anonymous reporting;
- Providing guidance and interpretation to the QA, the Audit Committee and Employees, in conjunction with the Legal Counsel (and/or outside counsel), on matters related to the ACP;

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- Monitoring ongoing agency relationships. The Compliance Committee will (if appropriate) review (i) the retention of any Agent for purposes of business development in a foreign jurisdiction, and (ii) all contracts related thereto;
 - Reviewing the suitability of all prospective Agents, sub-contractors and other business partners for purposes of compliance with Anti-Corruption Laws;
 - Reporting at least semi-annually to the Audit Committee; and
 - Any other duties and responsibilities that the Audit Committee, Board of Directors or QA may request.

The Compliance Committee shall meet at least semi-annually. It also shall meet at the request of any member of the Committee or any member of the Audit Committee or Board of Directors. The CCO shall manage the agenda, with minutes of the meeting taken by the Vice Chairman (unless directed otherwise by the Chairman).

The Compliance Committee shall direct compliance recommendations to appropriate business unit and/or regional heads. If the Compliance Committee is not satisfied with the action taken in response to its recommendations, it shall report such concern to the Chief Executive Officer of Marken. If any member of the Compliance Committee learns of a possible breach of the ACP or any Anti-Corruption Laws, it must immediately report the circumstances of such possible breach to the Chief Compliance Officer and Legal Counsel.

7.2 Chief Compliance Officer

The CCO shall serve as chair of the Compliance Committee and be principally responsible for:

- the administration, implementation, monitoring and enforcement of the ACP;
- monitoring corporate due diligence in connection with Anti-Corruption Laws; and
- serving as the principal point of contact between Employees, Agents and the Compliance Committee, and between the Compliance Committee and the Audit Committee.

7.3 **Compliance Officers**

From time to time, the Compliance Committee shall appoint certain Employees as Compliance Officers to assist in the administration, implementation, monitoring and enforcement of the ACP. One Compliance Officer shall be designated for each business unit or region of Marken.

Each Compliance Officer shall report directly to the CCO on a quarterly basis. The duties of each Compliance officer shall be consistent with the duties and responsibilities of the CCO as described above. If a Compliance Officer learns of a possible breach of the ACP or Anti-Corruption Law, he or she must immediately report the circumstances of such possible breach to the CCO or the Legal Department.

Exhibit 1

Due Diligence Concerning Agents and Business Partners

The following measures ensure thorough vetting of potential Agents and business partners and investigation of their background and set forth minimum standards that Marken's business partners must meet in order to avoid disqualification from working with or on behalf of Marken. Evidence of such "**due diligence**" inquiries shall be maintained in Marken files held securely by the CCO.

1. Due Diligence Questionnaire

A Supplier Due Diligence Questionnaire shall be completed by Marken in compliance with the relevant QA standard operating procedure(s) by the Regional Operations Manager ("**ROM**") responsible for hiring the sub-contractor / agents in their region. The ROM and the QA shall review the completed questionnaire for potential ACP "red flags". Any red flags or noted issues should be brought by the QA to the attention of the Compliance Committee for further inquiry or investigation.

The questionnaire will determine and document such information as: the Agent's background, the ownership and organization of his business entity; his affiliations with government entities or officials; the agent's prior involvement with any non-routine government inquiries, criminal proceedings, or enforcement actions; the agent's familiarity with the requirements of the Anti-Corruption Laws and the ACP; identification of practices / business relationships with potential to result in a breach of Anti-Corruption Laws and the agent's fee structure.

2. Check the Agent's References

The Agent should provide references from local / national / international companies the agent has represented. The references may be contacted to check: (i) the Agent's professional reputation; (ii) the Agent's ability to comply with corporate procedures and Anti-Corruption Laws; (iii) the Agent's fee structure; (iv) whether fees were consistent with the going rate for such services in that country; (v) whether the Agent has demonstrated an ability to accept corporate supervision of his activities; and (vi) any other issues of concern about the Agent.

3. Check the Agent's Reputation With National Governmental Authorities

If deemed necessary by the QA or the Compliance Committee, further checks may be conducted including, but not limited to, such measures as (i) contacting the commercial attachés at the United States Embassy and the British Embassy in the relevant country; (ii) requesting a World Trader's Data Report from the U.S. Department of Commerce; and (iii) checking the Agent's name with the country desks of the U.S. Departments of Commerce and State and similar agencies in the United Kingdom.

4. Private Investigator

Marken may consider hiring a private investigative agency to conduct background checks.

5. Contract Review

Agency, joint venture or other similar contracts, whether drafted or proposed, should be reviewed with certain attention to the relevant "red flag" factors — especially the fee structure, the responsibilities the Agent proposes to undertake, and whether the contract contains a provision promising compliance with the requirements of Anti-Corruption Laws. Red flag factors include:

- 5.1 Requests for funds for facilitating transactions;
- 5.2 "Questionable" practices by intermediaries, Agents, or consultants;
- 5.3 Unusual payment patterns or financial arrangements;
- 5.4 Refusal by an Agent, joint venture or other business partner to refrain from taking any action in furtherance of an unlawful offer or promise to pay;
- 5.5 Unusually high commissions;
- 5.6 Lack of transparency in expenses and accounting records;
- 5.7 Whether a client / a member of staff or a current supplier or an official of the relevant government or potential customer recommends the Agent, sub-contractor or joint venture partner;
- 5.8 Countries with a high risk of bribery or a history of corruption. Countries' reputations can be checked with Transparency International's Corruption Perceptions Index, www.transparency.org/press_releases_archive/2003, among others.

The Legal Department maintains a file of sample covenant terms to use in Agent contracts, including sample clauses.

6. Documentation

Each step of the due diligence process shall be documented.

7. Preexisting Relationships – Grandfathering Mechanism

If a preexisting or current Agent, sub-contractor, joint venture or other business partner relationship involves an annual or periodic contract review, the above-described procedure will be performed upon renewal of the contract. Otherwise, preexisting due diligence information will be updated and entered into a Due Diligence Questionnaire, to ensure that all current business relationships are with reputable and qualified Agents and other business partners for purposes of doing business in foreign jurisdictions.

Exhibit 2

Anti-Bribery Statement of Compliance for Officers and Employees

I hereby confirm (i) that I have read, and that I understand and accept responsibility for complying with, the Marken Anti-Corruption Compliance Policy, (ii) that I understand the requirements and restrictions imposed by anti-corruption laws, including but not limited to the U.S. Foreign Corrupt Practices Act, United Kingdom and European Union anti-corruption law, and applicable local law (collectively, "**Anti-Corruption Laws**"), (iii) that I have received training concerning compliance with the Marken Anti-Corruption Compliance Policy and Anti-Corruption Laws, and (iv) represent and certify that I have not offered, or caused to be offered, any money or other thing of value to any foreign government official as defined by any Anti-Corruption Law, or to any person while I know or have reason to know that such person has offered or caused to be offered any money or other thing of value to a foreign government official, and I have not violated, or caused Marken or any of its joint venture companies, employees, officers or directors to violate, any Anti-Corruption Law. I further confirm that I do not know or have reason to believe that any business partners, including suppliers, manufacturers, contractors, joint venture partners, agents, distributors, consultants, and any other third-party representatives retained in connection with the business of Marken has violated, or caused Marken to violate, any Anti-Corruption Law. I further confirm that should I learn of or have reason to know of any violations of any Anti-corruption Law or the Marken Anti-Corruption Compliance Policy, I shall immediately inform my supervisor (unless making an anonymous report to the Legal Department). I may also notify the Legal Department or any member of the Anti-Corruption Compliance Committee (see contact details set out in Exhibit 6)

Signature

Name

Title

Date

Exhibit 3

Anti-Corruption Certification for Agents, Consultants and Representatives (Collectively "Business Partners")

I, _____, a duly authorized representative of _____ [business partner name], confirm that I, my company, and anyone retained by me or my company (i) understand and accept responsibility for complying with, the Marken Anti-Corruption Compliance Policy, (ii) understand the requirements and restrictions imposed by anti-corruption laws, including but not limited to the U.S. Foreign Corrupt Practices Act, United Kingdom and European Union anti-corruption law, and applicable local law (collectively, "**Anti-Corruption Laws**"), and that since the date of my last certification, I, my company, and everyone retained by me or my company have not violated, or caused Marken, any joint venture company of Marken, or any officer, director or employee of Marken, to violate the Marken Anti-Corruption Compliance Policy or any Anti-Corruption Laws.

Further, I hereby confirm that neither I nor my company is a governmental entity or political party in the country in which I represent Marken and that no officer, director, stockholder, employee, or agent of my company is a "Public Official" as that term is defined below. "**Public Official**" is defined as:

- (i) any officer or employee of a government, including any federal, regional or local department, agency, state-owned or state-controlled enterprise or corporation or other instrumentality thereof;
- (ii) any officer or employee of a public international organization, including, but not limited to, the United Nations, the World Bank, the International Finance Corporation, the International Monetary Fund, and the Inter-American Development Bank;
- (iii) any person who exercises a public function on behalf of any country or territory or for any public agency or public undertaking;
- (iv) any person acting in any capacity for or on behalf of any such entities identified in clauses (i) and (iii) as well as close friends and family members of those persons; or
- (v) any official of a political party, any candidate or nominee of any political party and close friends and family of all the aforementioned parties, in the foreign country or for any position with any entity identified in clause (i) to (iii).

In connection with my representation of Marken, neither I, nor my company, nor any of its officers, directors, stockholders, employees or agents have offered, paid, promised to pay, or authorized the payment of any money or any other thing of value to (a) any "Public Official" as that term is defined above, (b) any other person while knowing or suspecting that all or a portion of such money or anything else of value would be offered or given directly or indirectly to any Public Official for any of the prohibited purposes listed below. These prohibited purposes are:

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- to influence an act or decision of such official, political party, party official or candidate in his official capacity;
 - to induce such official, political party, party official or candidate to do or omit to do any act in violation of the lawful duty of such party, official or candidate; or
 - to induce such official, foreign political party, party official or candidate to use his or its influence with a government or government agency.

In addition, in connection with my representation of Marken, neither I, nor my company, nor any of its officers, directors, stockholders, employees or agents have offered, paid, promised to pay, or authorized the payment or giving of, or will in the future offer, pay, promise to pay or authorize the payment or giving of, any bribe or improper payment or gift (with money or any other thing of value) to any other person.

I confirm that should I learn of or have reason to know of any activities in connection with the representation of the Company which may constitute a violation of Anti-Corruption Laws or the Marken Anti-Corruption Compliance Policy, I will immediately advise the Legal Department of Marken at:

Marken Limited
Ground Floor
107 Power Road, Chiswick
London W4 5PY, United Kingdom
Marked for the attention of: The Legal Counsel

Or alternatively at:

Email: legal@marken.com

I also authorize Marken to use any information contained in this Certification, without limitation, including for the purpose of determining whether I or my company will be retained by Marken in any capacity.

Date

Authorized Representative signature

Representative Title

Organization

Exhibit 4

Agents and Business Partners Sample Anti-Corruption Contractual Language

The AGENT hereby represents and warrants to MARKEN that it will in particular and at all times comply with the provisions of the US Foreign Corrupt Practices Act 1977 (and such other similar legislation as may from time to time come into force in the United Kingdom including, but not limited to, the provisions of the Bribery Act 2010) and, in respect thereof, further warrants that it has not taken, and will not take any actions in furtherance of an offer, payment, promise to pay, or authorization of the payment or giving of any money or anything else of value to any public official (including any officer or employee of a foreign government or government-controlled entity or of a public international organization, or any person acting in an official capacity on behalf of any of the foregoing, or any political party or official thereof, or candidate for political office all of the foregoing referred to as "**Public Officials**") or to any other person while knowing that all or some portion of the money or value will be offered, given or promised to a Public Official for the purpose of: (i) influencing any act or decision of such Public Official; (ii) inducing any Public Official to act (or omit to act) in violation of his lawful duty; (iii) securing an improper advantage; or (iv) inducing a Public Official to use his influence with a foreign government or body to affect or influence a decision of that foreign government or body, in order to assist the issuer (whether AGENT or another person) in obtaining or retaining any business for or with (or directing business to) any person.

Exhibit 5

Acquisitions Sample Anti-Corruption Contractual Language

Anti-Corruption Representation/Warranty

Neither the COMPANY nor any of its [respective] subsidiaries, directors, officers, employees or affiliates nor, to the best of their collective knowledge after due inquiry, any agents or other persons acting on behalf of any of the foregoing, directly or indirectly, has

- violated or is in violation of any applicable anti-corruption law,
- made, offered to make, promised to make or authorized the payment or giving of, directly or indirectly, any bribe, rebate, payoff, influence payment, kickback or other payment or gift of money or anything of value (including meals or entertainment) to any officer, employee or ceremonial office holder of any government or instrumentality thereof, any political party or supra-national organization (such as the United Nations), any political candidate, any royal family member or any other person who is connected or associated personally with any of the foregoing that is prohibited under any applicable law or regulation or otherwise for the purpose of influencing any act or decision of such payee in his official capacity, inducing such payee to do or omit to do any act in violation of his lawful duty, securing any improper advantage or inducing such payee to use his influence with a government or instrumentality thereof to affect or influence any act or decision of such government or instrumentality (**Prohibited Payments**), or
- been subject to any investigation by any governmental entity with regard to any actual or alleged Prohibited Payment.

Anti-Corruption Covenant

The COMPANY and its [respective] subsidiaries, directors, officers, employees, affiliates, agents or persons acting on their behalf shall not, directly or indirectly:

- make, or permit to be made, any Prohibited Payment. In addition, the COMPANY shall, and shall ensure that its respective subsidiaries, directors, officers, employees, affiliates, agents and other persons acting on its behalf, comply with all relevant laws and regulations concerning anti-terrorism and anti-corruption compliance, and shall implement and maintain adequate internal financial and management controls and procedures that are reasonably designed to monitor, audit, detect and prevent any Prohibited Payments and any direct or indirect use of the proceeds that does not comply with applicable law and/or which is inconsistent with any of the representations, covenants and obligations of the COMPANY under this Agreement.

Exhibit 6
Contact Details

Compliance Committee

Chief Compliance Officer	Doaa Fathallah	Email: Doaa.Fathallah@Marken.com Tel: +41 78 956 7771
Legal Counsel	Ruth Bhakta	Email: Ruth.Bhakta@Marken.com Tel: +1 919 474 6886
Chief Finance Officer	Steve Menzies	Email: Steve.Menzies@Marken.com Tel: +44 (0) 20 3249 7505
Vice President of Global Quality Assurance	Steve Roesse	Email: Steve.Roesse@Marken.com Tel: +1 919 474 6889

Legal Department

General Counsel & Chief Administrative Officer	Doaa Fathallah	Email: Doaa.Fathallah@Marken.com Tel: +41 78 956 7771
Legal Counsel	Ruth Bhakta	Email: Ruth.Bhakta@Marken.com Tel: +1 919 474 6886
Legal Counsel	Rebecca Jefferies	Email: Rebecca.Jeffries@Marken.com Tel: +44 (0) 20 3249 7508

To make any anonymous report, please contact a member of the Legal Department - callers should state immediately that they wish to make an anonymous report that a provision of the ACP has been or is being violated.